

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Hung et al.  
Filed: December 10, 2001  
For: Electrostatically-Controllable Diffraction Grating

BOX PATENT APPLICATION  
THE ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

I hereby certify under 37 CFR 1.10  
that this correspondence is being  
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Washington, D.C. 20231;

*[Signature]*  
December 10, 2001

**INFORMATION DISCLOSURE STATEMENT FILED PURSUANT**  
**TO THE DUTY OF DISCLOSURE UNDER 37 CFR §1.56, §1.97, AND §1.98**

Pursuant to the duty of disclosure under 37 CFR §1.56, §1.97, and §1.98, the Applicants  
request consideration of this Information Disclosure Statement.

**Compliance with 37 CFR §1.97:**

This Information Disclosure Statement is hereby filed under §1.97 (b)(3) at a time that is  
prior to the mailing date of a first Office Action on the merits, and no fee is required. If there are  
any fees required, please apply such to Deposit Account No. 12-1760.

**Information Cited:**

The Applicants hereby make of record in the instant application the information listed on  
the attached Form PTO-1449 (modified). The order of presentation of the references on the form  
is substantially chronological and should not be construed as an indication of the importance of  
the references. A copy of each of the listed items is enclosed.

The Applicants respectfully request that:

1. The Examiner consider completely the cited information in reaching a determination concerning the patentability of the pending claims;
2. The enclosed Form PTO-1449 be signed by the Examiner and a signed copy returned to the undersigned Agent to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information listed on the enclosed Form PTO-1449 be printed on any patent which issues from this application.

In submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

In submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b).

In submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

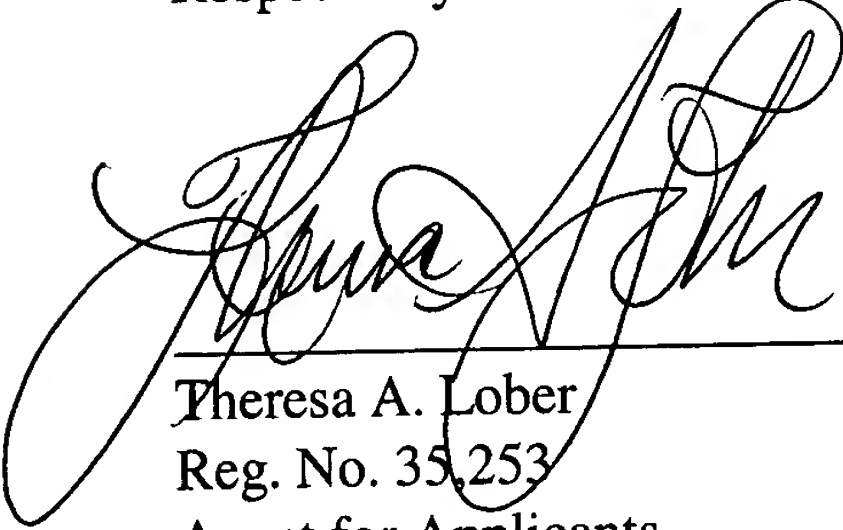
Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Date: December 10, 2001

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Respectfully Submitted

  
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